



DO'S

- VERIFY THE AUTHENTICITY OF A BROKER AND ITS BRANCHES FROM THE LIST OF SECP LICENSED REGISTERED BROKERS AND BRANCHES AVAILABLE ON PMEX AND PSX WEBSITE
- DO YOUR RESEARCH BEFORE DECIDING TO INVEST YOUR MONEY IN THE FUTURES AND CAPITALS MARKET
- CAREFULLY READ AND UNDERSTAND THE TERMS AND CONDITIONS ALONG-WITH RISK DISCLOSURE DOCUMENT
- ENSURE THAT ALL INFORMATION IS ACCURATELY FILLED IN THE ACCOUNT OPENING FORM AND A SIGNED COPY OF THE FORM IS RETAINED FOR FUTURE REFERENCE
- IN CASE OF ANY CHANGE IN INFORMATION PROVIDED IN THE ACCOUNT OPENING FORM, IMMEDIATELY COMMUNICATE IN WRITING TO BROKER
- ONLY DEPOSIT PAYMENTS TO PMEX AS PER AUTOMATED DIRECT FUNDS MODEL THROUGH CROSS CHEQUE/PAY ORDER/RTGS (OVER THE COUNTER - OTC) OR ONLINE TRANSFER (SUB-COLLECTION ACCOUNT - SCA) FROM YOUR OWN BANK ACCOUNT REGISTERED WITH PMEX
- FOR DEPOSIT IN PSX, FOLLOW THE GUIDELINES BY BROKER. NO CASH DEPOSIT AND THIRD PARTY DEPOSITS ARE ACCEPTED.
- ENSURE THAT BROKER SENDS DAILY, WEEKLY, MONTHLY ACCOUNT BALANCE AND ACTIVITY STATEMENTS TO KNOW THE TRADE ACTIVITY AND CASH BALANCES IN THE TRADING ACCOUNT
- ENSURE THAT SMS ALERTS FOR DEPOSITS, WITHDRAWALS AND TRADES ARE RECEIVED ON MOBILE NUMBER REGISTERED WITH PMEX
- APPROACH PMEX/PSX IN CASE OF ANY COMPLAINT THAT REMAINS UNRESOLVED BY THE BROKER

INSTRUCTIONS BY FLORET CAPITALS

- **APPROACH FLORET CAPITALS ON COMPLIANCE@FLORETCAPITALS.COM FOR ANY KIND OF COMPLAINT, OR CALL AT 03 111 000 183**
- **FLORET CAPITALS NEVER GUARANTEES PROFIT OR FIXED RETURN OVER CLIENTS' DEPOSITS. NOR DOES THE COMPANY OPERATES ANY CLIENT'S TRADING ACCOUNT.**
- **READ & PROPERLY UNDERSTAND THE RISK ASSOCIATED WITH INVESTING IN SECURITIES BEFORE INVESTING.**
- **CASH DEALING IS STRICTLY PROHIBITED IN FLORET CAPITALS FOR ANY KIND OF DEPOSIT OR SERVICE.**
- **ASSESS THE RISK-RETURN PROFILE OF THE INVESTMENT AS WELL AS THE LIQUIDITY & SAFETY ASPECTS BEFORE INVESTING.**
- **IF NEW TO SHARE TRADING, IT IS STRONGLY RECOMMENDED THAT (PROSPECTIVE) INVESTOR OBTAIN PROPER PROFESSIONAL INVESTMENT ADVICE BEFORE INVESTING IN SHARES.**

MOREOVER, TRADING FUTURES INVOLVES HIGH RISK, TRADERS ARE ADVISED TO ENSURE PROPER RISK MANAGEMENT.

DONT'S

- DO NOT DEAL WITH BROKERS OR THEIR BRANCHES NOT REGISTERED WITH PMEX/PSX (WHICHEVER APPLICABLE)
- DO NOT GIVE WRONG, CONTRADICTORY OR INCOMPLETE INFORMATION IN THE ACCOUNT OPENING FORM
- **DO NOT GIVE CASH OR ISSUE CROSS CHEQUE, PAY ORDER, DEMAND DRAFT OR ANY OTHER INSTRUMENT IN THE NAME OF BROKER, OR ANY OF ITS EMPLOYEE/AUTHORIZED REPRESENTATIVE FOR PMEX DEPOSIT**
- **FOR PSX, THE CROSS CHEQUE OR ANY INSTUMENT SHOULD BE ONLY IN THE NAME OF DESIGNATED BANK ACCOUNT CONVEYED THROUGH THE OFFICIAL EMAIL OF FLORET CAPITALS. ANY DEALING IN CASH OR ON NAME OF EMPLOYEE IS STRICTLY PROHIBITED.**
- **DO NOT DEPOSIT PAYMENTS IN CASH IN YOUR ACCOUNT**
- DO NOT GET MISLED BY ALLURING ADVERTISEMENTS, RUMORS, HOT TIPS OR THE PROMISES OF ASSURED RETURNS BY THE BROKERS OR THEIR AUTHORIZED REPRESENTATIVES
- DO NOT INVEST/DEPOSIT MORE FUNDS THAN YOU CAN AFFORD TO LOSE IN FUTURES
- GIVEN THE BENEFITS OF TRADING ON THE STOCK EXCHANGE, IT IS ADVISABLE TO AVOID OFF-MARKET TRANSACTIONS.
- DON'T DEAL WITH UNREGISTERED INTERMEDIARIES. DON'T FALL PREY TO PROMISES OF UNREALISTIC RETURNS. DON'T INVEST ON THE BASIS OF HEARSAY & RUMOURS; VERIFY BEFORE INVESTMENT.
- DO NOT SHARE PERSONAL ID AND PASSWORD OF TRADING ACCOUNT PROVIDED BY THE EXCHANGE WITH THE BROKER OR THEIR AUTHORIZED REPRESENTATIVES
- DO NOT EXECUTE ANY TRADE ON ADVICE/DIRECTION OF THE BROKER OR ANY OF ITS EMPLOYEE/AUTHORIZED REPRESENTATIVE
- DO NOT ENTER INCORRECT INFORMATION WHILE FILLING OUT DEPOSIT SLIP (OVER THE COUNTER - OTC) OR ADDING SUB-COLLECTION ACCOUNT (ONLINE TRANSFER) DURING FUNDS DEPOSIT. ANY CLAIM DUE TO ANY ERROR BY THE CUSTOMER OR BANKS SHALL NOT BE CONSIDERED/ENTERTAINED BY PMEX
- DO NOT SURRENDER THE RIGHT OF RECEIVING CASH AND TRADE BALANCES REPORTS VIA EMAIL OR SMS
- DO NOT START TRADING BEFORE READING AND UNDERSTANDING THE TERMS AND CONDITIONS AND RISK DISCLOSURE DOCUMENT
- **DO NOT GIVE DEPOSIT BY WHATEVER NAME CALLED, TO ANY BROKER OR ANY OF ITS EMPLOYEE/ AUTHORIZED REPRESENTATIVE AGAINST FIXED RETURNS ON DEPOSITS AS THE SAME IS ILLEGAL AND ANY CLAIM IN RESPECT OF SUCH DEPOSITS WOULD NOT BE CONSIDERED/ENTERTAINED BY PMEX**